

From Standard Model to Beyond the Standard Model

$$\begin{aligned}\mathcal{L} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\ & + i \bar{\psi} D \psi + h.c \\ & + \bar{\chi}_i \gamma_5 \chi_j \phi + h.c \\ & + |D_\mu \phi|^2 - V(\phi)\end{aligned}$$

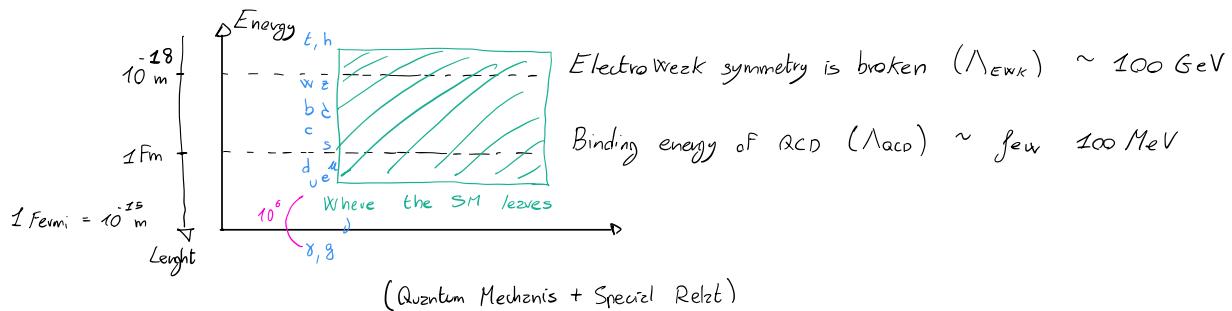
STANDARD MODEL

It's a theory of interactions between matter and forces: strong, weak, electromagnetic.
But it doesn't include gravity.

It's a fundamental theory.

What's MATTER? It's quarks, leptons, Higgs.

We tested the theory and we can have a snapshot of the energy.



The standard model is a Quantum Field Theory in 3+1 Minkowski space with (local) gauge symmetry $SU(3)_{\text{color}} \times SU(2)_{\text{left}} \times U(1)_Y$

- $SU(3)_{\text{color}}$: Symmetry of the STRONG FORCE of QCD
Number of generators (Remember $SU(N) = N^2 - 1$) $\Rightarrow 8$ gluons because $N^2 - 1 = 3^2 - 1 = 8$
 $\rightarrow N$ being the number of generators
- $SU(2)_{\text{Left}}$: Symmetry of the WEAK ISOSPIN of EWK
The force carrier only couples with LH particles
LH components of particles form a doublet under $SU(2)_L$
RH components are singlets, they don't interact via Weak Force
Number of generators 3 : W^+, W^-, Z
- $U(1)_Y$: Describes the EM symmetry group

EW
SECTOR



GAUGE SYMMETRY - Example 1: QED

QED, theory of e^\pm, γ

The lagrangian density is:

$$\mathcal{L} = \bar{\psi} (i\cancel{D} - m) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$\cancel{D} F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu = -F_{\mu\nu}$
 ↓
 definition

- e^\pm are spin $1/2$ $\psi = \psi(x)$, $\bar{\psi} = \psi^\dagger \gamma^0$ (The field is in the Minkowski space)
- γ are spin 1 $A_\mu = A_\mu(x)$

The equations of motion:

$$e^+, e^- \Rightarrow \cancel{D} \psi = 0 \quad \text{Dirac Equation}$$

* They have the same units
 $c = \hbar = 1$

$\cancel{D} \psi$ where $i \cancel{P}^\mu = (E, \vec{P}) = (E, P_x, P_y, P_z)$

$$\gamma \Rightarrow \partial_\mu F^{\mu\nu} = 0 \quad \text{Maxwell Equation}$$

But those particles interact to each other. \Rightarrow The interactions are dictated by SYMMETRY.

Interaction between electron and photon from $U(1)$ QED - Symmetry

So we impose the symmetry by imposing that after the transformation we get a phase factor

$$U(1): \psi(x) \longrightarrow e^{i\alpha(x)} \psi(x)$$

↳ Abelian, unitary group
of transformation

The second term of $\mathcal{L}_{\text{free}}$ under the transformation is INVARIANT. (we impose the transform)

$$-\bar{\psi} m \psi \rightarrow \bar{\psi} e^{-i\alpha(x)} m e^{i\alpha(x)} \psi = -\bar{\psi} m \psi$$

The first term is not!

$\bar{\psi} (i\cancel{D}) \psi$ is NOT INVARIANT

\Rightarrow We want now to create an invariant term (that cancel out the terms that the ∂ produce)

So we use the **COVARIANT DERIVATIVE**

$$D_\mu = \partial_\mu + ieA_\mu \quad \text{with} \quad D_\mu \psi \rightarrow e^{i\alpha(x)} (D_\mu \psi)$$

and so the $D_\mu \psi$ transform as $\psi \Rightarrow \bar{\psi} e^{i\alpha(x)}$ Then $\bar{\psi} i D_\mu \psi$ is now invariant.

Also the photon field transforms, it's a **Gauge Transformation**

$$U(1): \quad A_\mu \rightarrow A_\mu - \frac{1}{4} \partial_\mu \alpha(x)$$

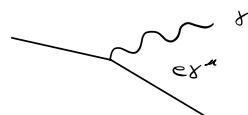
NOTE: it's the **SYMMETRY** that imposed the interaction! No potential or something to describe the interaction.

In the end

$$\overline{\Gamma} \quad \mathcal{L}_{\text{QED}} = \bar{\psi} (i\cancel{D} - m) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad \text{is } U(1)_{\text{QED}} \text{ invariant}$$

Let's take a closer look at the First term

$$\bar{\psi} i \cancel{D} \psi = \underbrace{\bar{\psi} i \cancel{D} \psi}_{\text{Kinetic for } e^-} - e \underbrace{\bar{\psi} \psi}_{\text{Interaction between } \gamma \text{ and } e}$$



The "predictive" part is that with this theory we can measure things to predict

- m electron mass
- $\lambda_e = \frac{e^2}{4\pi} = \frac{1}{137}$ } 2 parameters

CONSEQUENCE: Why the photon is massless?

We can write down a potential photon mass term: $\underbrace{m^2 A_\mu A^\mu}_{\text{NOT GAUGE INVARIANT}} \Rightarrow m_A = 0$

$$\text{NOT GAUGE INVARIANT} \Rightarrow m_A = 0$$

This was for QED and for $U(1)$, an ABELIAN group.

Let's try for non ABELIAN.

Non Abelian Symmetries

We know from QM that we cannot measure the spin at every direction at the same time with infinite precision.

This is described by $SU(2)$ group : spin.

We know

$$S_{1,2,3} = \frac{c_{1,2,3}}{2} \quad \text{w/ } c_{i,j} : \text{Pauli-Matrices}$$

The algebra is $[S_i, S_j] = i \epsilon_{ijk} S_k$ For $SU(2) \parallel \epsilon_{ijk}$: Levi-Civita Tensor

* $SU(N)$ can be described by STRUCTURE CONSTANTS

$$[t_a, t_b] = i \underbrace{f_{abc}}_{\text{Structure constants}} t_c$$

$$\text{2nd } N=2 \quad f_{abc} = \delta_{abc}$$

each $t_a \rightarrow$ one gauge boson

$$\left. \begin{array}{l} \text{SU(2) - 3} \\ \text{SU(3) - 8} \\ \text{U(1) - 1} \end{array} \right\} N=3 \quad t_a = \frac{\chi_a}{2} \quad \chi_a : \text{Gell-Mann matrices}$$

3x3 matrices

GAUGE SYMMETRY - Example 2: QCD

Now we review a theory that is invariant under $SU(3)$ local transformations.

$$SU(3) : \psi(x) \longrightarrow e^{i \sum_{a=1}^{N^2-1} \chi^a(x) t_a} \psi(x)$$

more generators: each generator gives you a different "directions". Each direction it's like an axes in which you rotate.

The structure of the exponent require $\chi^a(x)$ to be a vector

$$\chi^a(x) = \begin{pmatrix} \chi_1(x) \\ \chi_2(x) \\ \chi_3(x) \end{pmatrix} \quad \text{a VECTOR in the color space}$$

So the Lagrangian $\mathcal{L}_{\text{FREE}} = \bar{\psi} (i\cancel{D} - m) \psi$

NOT GAUGE INVARIANT

As done before, we should now introduce a COVARIANT DERIVATIVE

$$D_\mu = \partial_\mu + i g_s G_\mu(x) \quad \text{w/} \quad G_\mu(x) = G_\mu^a(x) \cdot t^a$$

8 generators
strong coupling constants
8 gluons

- $\bar{\psi} (i\cancel{D}) \psi$ is gauge invariant gluon field strength tensor
- GLUON-GLUON STRENGTH TENSOR

$$G_{\mu\nu} = \partial_\mu G_\nu - \partial_\nu G_\mu + i g_s [G_\mu, G_\nu] = t^a G_{\mu\nu}^a(x)$$

contracting it
NEW! comes from the non abelianity of $SO(3)$

$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g_s F^{abc} G^b G^c$$

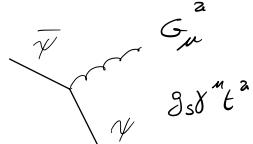
NEW PHENOMENOLOGY
one single

So the Lagrangian

$$\mathcal{L}_{\text{QCD}} = \bar{\psi} (i\cancel{D} - m) \psi - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$

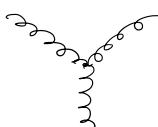
CONSEQUENCES

- Gluon is massless due to $SO(3)$ -invariance interactions $\bar{\psi} (i\cancel{D}) \psi$



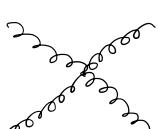
- $G_{\mu\nu} G_a^{\mu\nu}$ gives rise to self-interactions

G^3 -structure



$g_s \cdot f \cdot G^3$ momenta

G^4 -structure



$g_s^2 \cdot f^2 \cdot G^4$

Parameters: quark masses, $\alpha_s = \frac{g_s^2}{4\pi}$

Spontaneous Symmetry Breaking

$$SM: \quad \overset{\text{SSB}}{\longrightarrow} \quad \text{QCD} \times \text{QED} = \underset{\text{QED}}{\text{SU}_c(3)} \times \underset{\text{QED}}{\text{U}(1)}$$

→ This gives rise to m_χ, m_ψ, \dots all fermion masses

We know that ψ_L, ψ_R left and right handed fermions $\psi_L \neq \psi_R$.

Let's write a Dirac Mass Term $\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L$ they mix left and right handed field and they break SU_2 -gauge invariance.

This violates SYMMETRY \Rightarrow We need SSB!

Here comes the Higgs Boson φ , spin 0 particle with no color, but it couples with $SU_2 \times U(1)_Y$.

$$\mathcal{L}_{SM} = \bar{\varphi} i \not{D} \varphi - \left(\frac{1}{4} \overset{U(1)_Y}{\tilde{F}_{\mu\nu} F_{\mu\nu}} + \overset{SU(2)}{\tilde{G}_{\mu\nu} G_{\mu\nu}} + \dots \right) - \underbrace{\bar{\varphi} \varphi Y \varphi}_{\text{Yukawa interactions}} - \underbrace{V(\varphi)}_{\text{Higgs scalar potential}} + \underbrace{(\partial_\mu \varphi)(\partial^\mu \varphi)^*}_{W, Z \text{ masses}}$$

$\text{Yukawa interactions}$
 $\text{Higgs scalar potential}$
 W, Z masses

Let's investigate the potential

$$\bullet V(\varphi) = -\mu^2 \varphi^+ \varphi + \lambda (\varphi^+ \varphi)^2, \quad \lambda, \mu^2 > 0$$

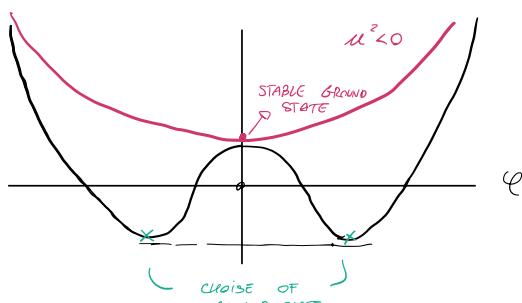
Derivative

$$\frac{\partial V}{\partial \varphi^+} = -\mu^2 \varphi + 2\lambda (\varphi^+ \varphi) \varphi = 0$$

$$\varphi = 0 \quad \text{or} \quad \boxed{-\mu^2 + 2\lambda \varphi^+ \varphi = 0}$$

The vacuum expectation value

$$\langle \varphi \rangle \neq 0 = \sqrt{\frac{\mu^2}{2\lambda}}$$



2nd we know it's

172 GeV

Now we expand the theory around the minimum

$$\mathcal{L}(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \mathcal{V} + h(x) \end{pmatrix} \xrightarrow{\text{Higgs } \sigma = 246 \text{ GeV}}$$

ℓ is complex doublet $= \begin{pmatrix} \ell^+ \\ \ell^- \end{pmatrix} \sim \in \mathbb{C}^2$ so it has 4 DOF.

But you loose 3 DOF because of SSB (remains only $h(x)$) \Rightarrow W^+, Z get the 3 DOF and are now able to oscillate in the LONGITUDINAL dof.

FERMION MASSES

Let's see how Fermions get masses from Yukawa coupling

$$\begin{aligned} \bar{\psi} \ell Y \psi &= \bar{\psi} \frac{1}{\sqrt{2}} Y (\mathcal{V} + h(x)) \psi \\ &= \bar{\psi} \left(\frac{Y \mathcal{V}}{\sqrt{2}} \right) \psi + \bar{\psi} \frac{Y h(x)}{\sqrt{2}} \psi \\ &= m_\psi \end{aligned}$$

So for top quark $m_t \simeq 172 \text{ GeV}$

$$m_t = \frac{Y_t \cdot \mathcal{V}}{\sqrt{2}} \Rightarrow Y_t = \frac{\sqrt{2} m_t}{\mathcal{V}} \simeq 1$$

Since there are many correlations, \mathcal{V} has not been directly measured experimentally.
To know how Fermions couple, we have to know how they are charged.



SM matter and their couplings to gauge bosons.

How to memorize the SM Fermions: QUOLE, e

Q: LH quarks
 U: RH quarks, up type
 D: RH quarks, down type
 L: LH leptons
 E: RH leptons

QUARKS	u	c	t	LEPTONS	ν_e	d_μ	d_τ
	d	s	b		e	μ	τ
1°	2°	3°		1°	2°	3°	generations

Notation:

Field name: $(\text{representation under } \text{SU}(3)_L, \text{ representation under } \text{SU}(2)_L) \times \text{Hypercharge}$

Example: $Q(3,2)_{1/6}$ $Q_{cc} = T_3 + Y$

Doubles

$$Q = \begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{array}{lll} U: & Q_{cc} = +2/3, T_3 = +1/2 & Y = Q_{cc} - T_3 - 1/2 = 1/6 \\ & d: & Q_{cc} = +2/3, T_3 = -1/2 & Y = -1/3 + 1/2 = 1/6 \end{array}$$

Singlets

$$\begin{array}{ll} U(3,1)_{+2/3} & D(3,1)_{-1/3} \\ L(1,2)_{-1/2} & E(1,1)_{-1} \end{array} \quad \mathcal{L}(1,2)_{+1/2}$$

UNIVERSITY OF GAUGE INTERACTIONS: identical For all 3 generations \gg gauge interaction are generation blind in SM

Parameters budget:

3 gauge couplings	}	18 parameters
2 Higgs vectors		
3 lepton masses		
6 quarks masses + $\underbrace{3 + 1}_{\text{Rotation Matrix}}$		

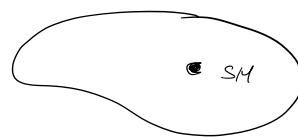
How to move on?

We already mentioned that there are issues with

1) FLAVOR

2) HIGGS, POTENTIAL

} Parts to NEW PHYSICS



How do we explore other models?

- Top-down proposals \Rightarrow Strong Theory proposal and check low energy phenomenology.
- Bottom-up proposals \Rightarrow Data driven
- Effective Field Theory (EFT) and Parametrize the unknown

SMEFT : SM effective Field Theory

It's extended version of the SM, so the Lagrangian

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{i,d} \frac{c_i \mathcal{O}_i^d}{\Lambda^{(d-i)}}$$

EXTRA TERMS

\uparrow
 Λ_{NF}
 Λ_{EWK}
 Λ_{QCD}

New Physics \leftarrow parametrized by extra terms of SM Fields

SM

c_i : Wilson coefficients = dimensionless coupling strength || \mathcal{O}_i : Operators, product of SM Fields and derivatives constants with SM symmetries

For renormalizability we cannot have terms like

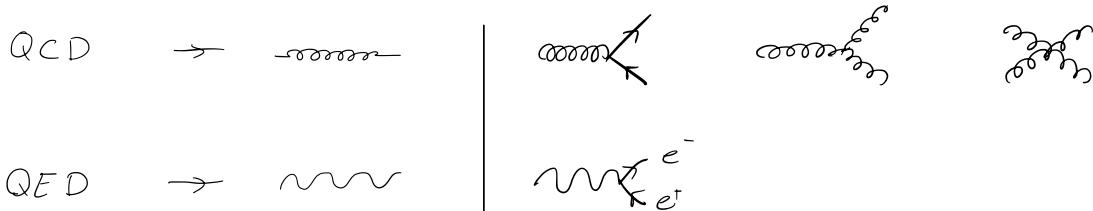
$$\mathcal{O}_{\text{eg}} = \bar{Q} \gamma_\mu Q \bar{L} \gamma^\mu L \quad t \text{ fermions field}$$

with EFT these terms are allowed and SM is valid only at lower energies.

This is a model independent why to search for new physics.

From Renormalization to Renormalization Group

We know about Lagrangians and their Feynmann Rules (FR)

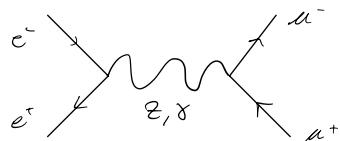


We can use those FR to compute scattering (decay metrik, cross sections..)

We think of this type of computations as APPROXIMATIONS: PERTURBATION THEORY



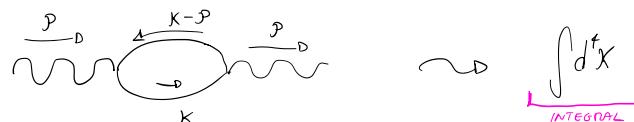
EXAMPLE: $\mu^+ \mu^-$ production at LEP \rightarrow Three level diagram



This diagram is an approximation at First order. To increase precision we should go beyond 3-level diagrams \Rightarrow LOOP DIAGRAMS



SOMETHING NEW HAPPENS when we consider the loop diagrams in the calculations. Following the rules we will have



No constraints on x , so mathematically happens that INTEGRAL diverges!

Physically we have a problem: these divergent diagrams seem "naively" to lead to divergences

How to solve?

1/PEA:

~ The couplings that we write in the Lagrangian are not necessarily **FINITE**, are not physical observables, so we can take the DIVERGENCY and absorb them in a **RENORMALIZED** couplings

The underlying reason is that the Legendre polynomials are NOT physical. In order to make predictions one must express everything in terms of other measurements.

If done, all divergences will cancel.

EXAMPLE - \mathcal{C}^4

$$\mathcal{L} = \frac{1}{2} \partial_\mu \psi \partial^\mu \psi - \frac{1}{4!} \psi^4$$

Compute $\mathcal{L}\mathcal{L} \rightarrow \mathcal{L}\mathcal{L}$

$$LO: \text{Leading Order} \rightarrow i \mathcal{M}^{LO} = X = -i \pi$$

$$NLO: \text{Next to Leading Order} \rightarrow i\mathcal{M}^{NLO} = \text{Diagram 1} \quad \text{Diagram 2} \quad \text{Diagram 3}$$

$$\text{Rules: } i \mathcal{M}_s^{NLO} = \underline{\underline{(-i\mathcal{Z})}}^2 \frac{1}{2} \int \frac{d^4 K}{(2\pi)^4} \cdot \frac{1}{K^2} \cdot \frac{1}{(K+P)^2} \quad \mathcal{P} = \mathcal{P}_Z + \mathcal{P}_E$$

- \mathcal{M} is a Lorenz scalar so it can only depend on a Lorenz scalar, the only one that I have is $S = p^2 = (p_1 + p_2)^2 = (k_1 + k_2)^2$

- iM is dimensionless so for large loop momenta $k^a \gg p^a$

$$iM^{NLO} \propto \int_0^\infty \frac{dk}{|k|} \rightarrow \infty \text{ which DIVERGES}$$

Now we want to apply the concept said before: **REGULATE** the divergences parametrizing them.

We expect that (typically if doing calculations)

$$M_s^{NLO} \propto \log \frac{S}{\Lambda^2} \quad \text{with an energy scale} \quad \text{which is the "correct result" For } \Lambda \rightarrow \infty \quad [\Lambda] = \epsilon$$

With Λ we **REGULATED** the divergence

~ A trick to compute M is to compute first its derivative and then integrate

After explicit computation

$$M(S) = -\lambda - \frac{\lambda^2}{16\pi^2} \frac{1}{2} \log \frac{S}{\Lambda^2} + O(\lambda^2)$$

Renormalization: Express λ in terms of the "Renormalization coupling" that is fixed by experiment.

Fixing λ_R

Experiment ② $S = S_R \rightarrow \overbrace{\sigma(S_0)}^{\text{Cross section at } S_0} : \text{Define } \lambda_R \Rightarrow \underline{\text{Renormalization condition}}$

$$\lambda_R \equiv -\overbrace{M(S_0)}^{\text{extracted from exp, it's a number}}$$

- LO $\lambda_R = -M(S_0) = \lambda$
- NLO $\lambda_R = -M(S_0) = \lambda + \frac{\lambda^2}{16\pi^2} \frac{1}{2} \log \frac{S_0}{\Lambda^2} + O(\lambda^2)$ For $\Lambda \rightarrow \infty$, this diverges

To "cure it" \Rightarrow Express λ in terms of Λ

$$\lambda = \lambda_R - \frac{\lambda_R^2}{16\pi^2} \frac{1}{Z} \log \frac{s_0}{\Lambda^2}$$

substituting

$$\begin{aligned} \mathcal{M}(s) &= -\lambda - \frac{\lambda^2}{16\pi^2} \frac{1}{Z} \log \frac{s}{\Lambda^2} \rightsquigarrow \text{This is } S \text{ now} \\ \text{Plug this in and keep only } \lambda^2 \text{ terms} &= -\lambda_R - \frac{\lambda_R^2}{16\pi^2} \frac{1}{Z} \log \frac{s}{s_0} + \mathcal{O}(\lambda_R^3) \\ &\text{NO DIVERGENCE!} \end{aligned}$$

\Rightarrow The divergencies of Feynmann diagrams are absorbed in renormalized couplings, which are fixed by experimental observables.



Renormalization Group

Why is renormalization not enough?

→ Large logarithms violate the naive scaling and lead to the loss of predictivity.

Concretely: Rate (cross, \uparrow , with dimension $[1] = [E^0]$)

$$\uparrow(E, x, g, m) = E^0 \uparrow\left(1, x, g, \frac{m}{E}\right) \quad \text{means divide } \uparrow \text{ by its energy and factorize}$$

↳ dimensionless coupling (angles)

Naive expectation is that

$$\uparrow \xrightarrow{E \gg m} E^0 \uparrow(1, x, g, 0)$$

This however is NOT what happens!

The log divergencies that we discussed **VIOLATE** this scaling.

SAME EXAMPLE: \mathcal{L}^4 (with massive \mathcal{L})

$$\begin{aligned} \mathcal{M} &= X + \cancel{OK} + \text{crossing} \\ &= \lambda_0 - \frac{\lambda_0^2}{32\pi^2} \int_0^1 dx \log \frac{\lambda^2}{m^2 - s(1-x)x} \quad , \int \text{ is not easy because mass } \mathcal{L} \\ \text{RENORMALIZE } \lambda_0 &\rightarrow \lambda_R \\ &= \lambda_R + \frac{\lambda_R^2}{32\pi^2} \int_0^1 dx \left(\log \left[1 - \frac{s x(1-x)}{m^2} \right] + \text{crossing} \right) \end{aligned}$$

Now take $E \gg m$ (solving)

LARGE LOGARITHM WHEN $s \gg m^2$

$$\mathcal{M} \rightarrow \lambda_R + \frac{\lambda_R^2}{32\pi^2} \left(\log \frac{-s}{m^2} + \text{crossing} + 6 \right)$$

CONCLUSION:

high energy

We cannot understand the UV behaviour of this theory via this fixed order renormalization approach

SOLVE: RENORMALIZATION GROUP

The idea is to go back and say that was not a good idea to think that as a fixed order expansion

We should think of the dependence as something that depends on the energy but adding a new parameter μ .

$$\Gamma(E, g_r, m_r) \rightarrow \Gamma(E, g(\mu), m(\mu), \mu) \quad \text{CHANGE PARADIGM}$$

Find a way to define $g(\mu)$ such that for $E \gg m$ it does not depend on m , but on some new, artificial scale μ .

We can fix $g(\mu)$ with a new condition far away from m , avoiding large logs.

Let's suppose that we did find a way to express $g(\mu)$

NEW SCALING

$$\Gamma(E, g(\mu), m(\mu), \mu) = E^0 \Gamma(1, g(\mu), \frac{m(\mu)}{E}, \frac{\mu}{E})$$

and if we care about some energy E , set $\mu = E$

$$\Gamma(E, g(\mu), m(\mu), \mu) \stackrel{\mu=E}{=} E^0 \Gamma(1, g(E), \frac{m(E)}{E}, 1)$$

Because per definition $g(\mu)$ doesn't depend on m , this rate does not contain large logs for $E \gg m \Rightarrow$ if we do perturbation theory in terms of $g(E)$

$$\Gamma(E, g(\mu), m(\mu), \mu) \xrightarrow{E \gg m} E^0 \Gamma(1, g(E), 0, 1) \quad \text{SCALES LIKE THIS}$$

CONCEPT OF RENORMALIZATION GROUP:

The renormalization group absorbs the "enormous logarithmic" scaling in the renormalized couplings at the price that they depend on a new artificial scale μ .



cannot compute $g(\mu_2)$ in terms of a fixed order expansion in $g(\mu_1)$. However, we can expand $g(\mu')$ in terms of $g(\mu)$ as long as $\mu' \approx \mu$ (THEY ARE VERY CLOSE)

This allows us to have a correspondent differential equation such that we can always increment

⇒ Collon - Symanzik Equation

Differential Equation

$$\frac{d g(\mu)}{d \log \mu} = \beta(g) \quad \perp$$

How does g changes
if we change μ

Solution:

$$\log \frac{\mu_2}{\mu_1} = \int_{g(\mu_1)}^{g(\mu_2)} dg \frac{1}{\beta(g)}$$

If we know $g(\mu_1)$ at scale μ_1 (where m is not important) we can compute $g(\mu_2)$

The beta function is actually computable (From the Feynmann diagrams we computed before)

At $E \gg m^2$

$$g_{\text{BARE}} = g(\mu) + \frac{g(\mu^2)}{16\pi^2} B \log \frac{\Delta}{\mu^2} + \dots$$

and we can do the same with μ'

⇒ Comparing this with the $g(\mu')$ expansion for $\mu' = \mu \rightarrow \beta(g)$
in terms of B

⇒ We can fix the $\beta(g)/RGs$ by the poles that we absorb in new couplings

Renormalization
Group
Equations

Asymptotic Scaling Behaviour

Discussing the differential equations 2nd possibility.

A) Trivial ^{Infrared} IR Fixed point (FP) [QED]: means in the infrared we get $g \approx 0$

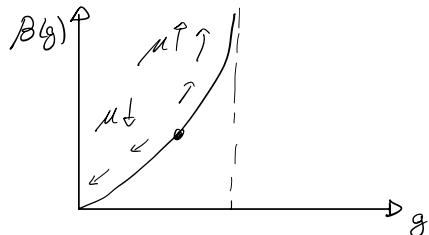
$\beta(g) > 0$ for small $g \Rightarrow$ in this case I can make an expansion

expansion $\beta(g) = g^2 \beta_0 + \dots$ \rightsquigarrow its expansion in g

So

$$g(\mu_2) = \frac{g(\mu_1)}{1 - \beta_0 g(\mu_1) \log \frac{\mu_2}{\mu_1}}$$

obtained by solving the Dif. Equation



- $\beta(\mu)$ increases with μ \checkmark 2nd diverges at some finite value (Landau Pole)

$$\Lambda_{\text{LANDAU POLE}} = \mu_1 e^{\frac{1}{\beta_0 \cdot g(\mu_1)}}$$

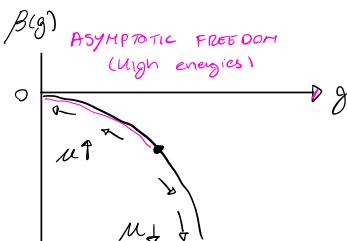
- For $\mu \rightarrow 0$, $g(\mu) \rightarrow 0 \Rightarrow$ Trivial / Free IR FP

~ Trivial FP means that FREE theory with $\beta(g)=0$ in $g=0$

B) Trivial UV FP - Asymptotic Freedom [QCD] Nobel Prize

For small g $\beta = g^2 \beta_0 +$

\hookrightarrow Negative with $\beta_0 < 0$



- Asymptotic Freedom: μ increasing $\rightarrow \beta(g) \rightarrow 0$
- When g becomes greater than 1 we lose perturbativity
- Coupling decreases with $\mu \uparrow$ trivial UV FP - Asy. Freed
- Coupling grows in the IR

QCD

$$\beta_S(\mu_2) = 0.118$$

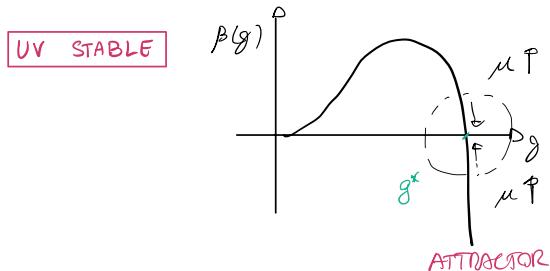
\rightarrow

$$\begin{cases} \beta_S(\mu_2) \rightarrow 0 & \mu \rightarrow \infty \\ \beta_S(\mu_2) \rightarrow \infty & \mu \rightarrow 0 \end{cases} \quad \Lambda_{\text{QCD}} \approx 220 \text{ MeV}$$

c) NON TRIVIAL FPs

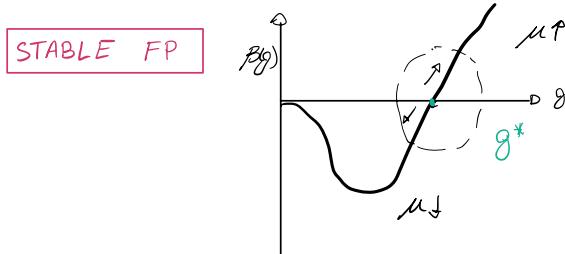
$$\exists g^* \neq 0 \text{ such that } \beta(g^*) = 0$$

→ Place z_t which for some non-null g the $\beta(g)$ is zero



it's called uv stable cause does not matter of which is μ it goes back to the g^* part

Independent of the starting point in the vicinity of g^* $\overset{\mu \rightarrow \infty}{g(\mu)} \rightarrow g^*$



For μ increasing, g is repelled from FP. It is attracted for $\mu \rightarrow 0$ (IF STABL)

Summary

We need the normalization group.

If we try to make predictions far, far away from where we have data.

If we want to know, if we have some data, some objective, some measurement, some energy state, and we now want to make a decision super far away from it, and we try to do this with fixed renormalisation following the regression theory, large logarithms will appear, ruining the perturbativity and the validity.

We have to rethink: need to define a new coupling that depend on artificial scale does not depend on mass on the higher scale.

Once I have fixed this definition and I've made sure that my renormalized copy, does not depend on this IR scale, okay, I can incrementally compute it at very high scales, very different scales, absorbed all these large numbers in this running, these are the running copy constants, and then I can make a prediction in terms of the fixed following regression theory, but in terms of the high scale copy, no large numbers, no N-square theory.

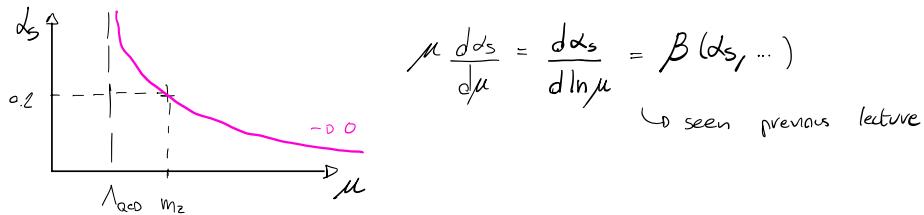
Okay? And because of all this story, this coupling is G , that are running, coupling, they do have some sort of physical interpretation because we can use them as proxies to understand the fate of these theories at the different energy scales, in particular at very high P scales

Running of Wilson Coefficients

In general, most couplings do "run".

→ their value changes with energy \propto (strong coupling constant)

Ex: α_s



All couplings in the SM do run.

Wilson Coeff: Effective Field theory, example: SMEFT

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i c_i \frac{\mathcal{O}_i^{(d)}}{\Lambda^{d-4}}$$

- \mathcal{O}_i : higher dimensional operators "Vertices" composed out of SM-Fields.
- c_i : Wilson coefficients
- Λ : length scale

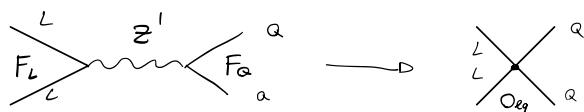
The c_i are dimensionless couplings strength of operators \mathcal{O}_i

Example:

$$\mathcal{O}_{\text{eq}} = \bar{\chi}_\mu L \bar{Q} \gamma^\mu Q$$

(4-Fermion operator)

UV - model



$$m_{Z'} \gg \Lambda = 296 \text{ GeV}$$

Computing the Feynmann diagram

$$\bar{\chi}_\mu L \frac{g^{\mu\nu} F^a}{Q^2 - m_{Z'}^2} \bar{Q} \gamma_\nu Q \underset{\text{Propagator}}{\sim} - \frac{F_L F_Q}{m_{Z'}^2} \mathcal{O}_{\text{eq}} = - \frac{c_i}{\Lambda^2} \mathcal{O}_{\text{eq}}$$

at low energies $Q^2 \ll m_{Z'}^2 = \Lambda^2$

It's possible to write the equation (of evolution of Wilson coefficients)

$$\Gamma_C = \frac{dC}{d\mu} = \gamma_c \quad \gamma: \text{anomalous dimension (start at loop-level)}$$

Solve this in perturbative theory: Assuming $\gamma = \frac{g^2}{16\pi^2} \gamma^{(0)} + \mathcal{O}(g^4)$

Expanding C_i

$$C_i = C_i(\mu, g) = C_i^{(0)}(\mu) + \frac{g^2}{16\pi^2} C_i^{(1)}(\mu) + \mathcal{O}(g^4)$$

At lowest level QCD ($g = g_s$)

$$\frac{dC^{(0)}}{d\ln \mu} = \frac{g^2}{16\pi^2} C^{(0)} \gamma^{(0)} \Rightarrow \frac{dC^{(0)}}{C^{(0)}} = \frac{ds(\mu)}{4\pi} \gamma^{(0)} d\ln \mu$$

$\left. \begin{array}{c} \text{lowest order coefficient} \\ \text{QCD} \end{array} \right\}$

From running of α_s at 1 loop: $\frac{d\alpha_s}{d\ln \mu} = -\frac{b_0}{2\pi} \alpha_s^2$ in SM $b_0 = \frac{11N_c - 2N_f}{3} > 0$ because $11 - 4 = 7$

$$\Rightarrow \frac{d\alpha_s}{d\ln \mu} = -\frac{b_0}{2\pi} \frac{d\alpha_s}{\alpha_s} \Rightarrow \frac{dC^{(0)}}{C^{(0)}} = \frac{\gamma^{(0)}}{4\pi} \cdot \frac{-2\pi}{b_0} \frac{d\alpha_s}{\alpha_s} = -\frac{\gamma^{(0)}}{2b_0} \frac{d\alpha_s}{\alpha_s}$$

Integrate $\left[d\ln C = \frac{dC}{C} \right] \Rightarrow \int_{C^{(0)}(\mu_1)}^{C^{(1)}(\mu_2)} \frac{d\ln C^{(0)}}{C^{(0)}} = -\frac{\gamma^{(0)}}{2b_0} \int_{\alpha_s(\mu_1)}^{\alpha_s(\mu_2)} \frac{d\ln \alpha_s}{\alpha_s}$

$$\Leftrightarrow \frac{C^{(1)}(\mu_2)}{C^{(0)}(\mu_1)} = \left(\frac{\alpha_s(\mu_2)}{\alpha_s(\mu_1)} \right)^{-\frac{\gamma^{(0)}}{2b_0}}$$

RELATION BETWEEN $C^{(0)}(\mu = \mu_2)$ AND $C^{(0)}(\mu = \mu_1)$

Easy example to see that coefficients run.

Next computation: In general generators mix, and the anomalous dimension is a matrix.

↪ Here was a number

Operator Mixing

Operators with the same quantum numbers mix. Operators O_i mix onto those with the same or lower mass dimension (d)

~ General version of what seen before

$$\frac{d\vec{C}}{d\ln\mu} = \gamma^T \vec{C} \quad \vec{C} = (C_1, C_2, \dots) \quad \text{vector of Wilson coeff}$$

↳ TRANSPOSE OF ANOMALOUS DIMENSION MATRIX

$$\gamma = \begin{matrix} \dim 5 & \dim 6 & \dim 7 & \dots \\ \dim 5 & \boxed{0} & 0 & 0 \\ \dim 6 & 0 & \boxed{0} & 0 \\ \dim 7 & 0 & 0 & \boxed{0} \\ \vdots & & & \vdots \end{matrix} \quad \text{Matrix from dim 5 to } \infty$$

- No entries above the diagonal \Rightarrow OPERATORS MIX onto ONES OF EQUAL OR LOWER DIMENSION.

Diagonalize the matrix of anomalous dimensions

$$V^{-1} \gamma^T V = \gamma_D \quad \text{diagonal matrix}$$

$$= \begin{pmatrix} \gamma_{D1} & & \\ & \gamma_{D2} & \\ & & \gamma_{D3} \end{pmatrix}$$

$$\sim \frac{d\vec{C}}{d\ln\mu} = \underbrace{V^{-1}}_{\mathbb{I}} \underbrace{\gamma^T}_{\mathbb{I}} \underbrace{V^{-1}}_{\mathbb{I}} \vec{C} = V \underbrace{V^{-1} \gamma^T V}_{\gamma_D} \underbrace{V^{-1} \vec{C}}_{\vec{C}'}$$

NEW WILSON.

By multiplying V^{-1} on the left $\Rightarrow \frac{d\vec{C}'}{d\ln\mu} = \gamma_D \vec{C}'$ Easily Solvable

They evolve independently

$$- \vec{C}' = (\underbrace{C'_1, C'_2, \dots}_{} \dots)$$

Solution to lowest order in QCD

$$- C'_j(\mu_2) = C_j(\mu_1) \left(\frac{ds(\mu_2)}{ds(\mu_1)} \right)^{-\frac{\delta_D j}{2b_0}}$$

We want to transform this result back in the original basis.

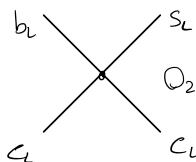
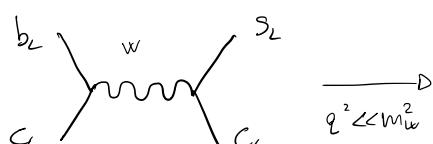
Transforming back: $V^{-1} \vec{C} = \vec{C}' \Leftrightarrow \vec{C} = V \vec{C}'$

explicitely:

$$C_i(\mu_2) = \sum_j V_{ij} C_j^i(\mu_2) = \sum_j V_{ij} C_j^i(\mu_2) \left(\frac{ds(\mu_2)}{ds(\mu_1)} \right)^{-\frac{\delta_{ij}}{2b_0}} =$$

$$= \sum_{j,k} V_{ij} (V^{-1})_{jk} \left(\frac{ds(\mu_2)}{ds(\mu_1)} \right)^{-\frac{\delta_{kj}}{2b_0}} C_k(\mu_1) = C_i(\mu_1)$$

Example: Weak Effective Theory) WET $\mu \leq m_W$



$$O_2 = \bar{s}_{L\alpha} \gamma_\mu c_{L\alpha} \bar{c}_{L\beta} \gamma^\mu b_{L\beta}$$

α, β : color indices

we can write another operator

$$O_1 = \bar{s}_{L\beta} \gamma_\mu c_{L\beta} \bar{c}_{L\alpha} \gamma^\mu b_{L\alpha}$$

α, β : color indices
SWITCHED

At the scale μ_1 $C_1(\mu_1) = 0$ $C_2(\mu_1) = 1$ \rightarrow induced by W -exchange
 \downarrow
 OFF because
 W is color blind

Now we want to discover the same operators at μ_2 : $C_{1,2}(\mu_2 < \mu_1) = ?$

O_1 and O_2 mix under QCD at 1-loop

$$\gamma^{(0)} = 2 \begin{pmatrix} -1 & 3 \\ 3 & -1 \end{pmatrix} \quad (\text{From books})$$

and Find matrix V that diagonalizes $\gamma^{(0)}$

ANSWER: $V = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = V^{-1}$

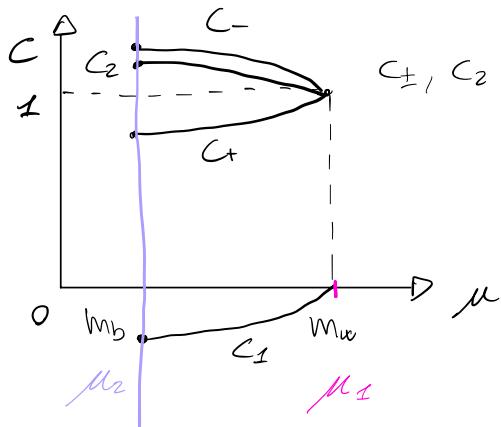
so $\gamma_0 = V^{-1} \gamma^{(0)} V = \dots = \begin{pmatrix} 4 & 0 \\ 0 & -8 \end{pmatrix}$ eigenvalues of $\gamma^{(0)}$

$$\tilde{C}^{-1} = V^{-1} \tilde{C} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} C_1 + C_2 \\ C_1 - C_2 \end{pmatrix} = \begin{pmatrix} C_1^{-1} \\ C_2^{-1} \end{pmatrix}$$

C_2 is proportional to $C_+ = C_1 + C_2$ $\rightarrow C_+ (\mu_2) = 1$ (since $C_2(\mu_2) = 0$)
 C_2 is proportional to $C_- = C_2 - C_1$ $\rightarrow C_- (\mu_2) = 1$ (since $C_2(\mu_2) = 1$)

Eigenvalues: $\gamma_+ = +4$, $\gamma_- = -8$

$$\Rightarrow C_\pm (\mu_2) = C_\pm (\mu_0) \left(\frac{ds(\mu_2)}{ds(\mu_0)} \right)^{-\frac{\gamma_\pm}{2b_0}}$$



- C_+ is reduced because $\frac{ds(\mu_2)}{ds(\mu_0)} > 1$ while the experiment is negative

- Now we know C_+ and C_- how evolve but we are interested on C_1 and C_2 at b-physics scale.

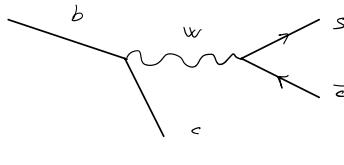
$$C_2(m_b) = -0.3 \quad C_2(m_b) = 1.1$$

$$C_2 = \frac{C_+ + C_-}{2} \quad C_1 = \frac{C_+ - C_-}{2}$$

We are sensitive with experiments to this types of effect. SMEFT is the most dominant EFT we can encounter.

New physics can change W coefficients, that's why one needs to search for new decays.

Effective hamiltonian / Theory for weak decays



Amplitude

Feynmann Rule

$$d_{Lj} \sim V_{Li}^+ \sim \frac{g}{\sqrt{2}} \gamma_\mu \mathcal{L} (V_{CKM})_{ij} \quad i, j = 1, 2, 3$$

where $\mathcal{L} = \frac{1 - \gamma_5}{2}$ projector Left-handed Fermions

$$\underline{V_{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$V_{CKM} \in \mathbb{C}, \text{ UNITARY} \quad V_{CKM} \cdot V_{CKM}^+ = \mathbb{I}$$

$\sim \rightarrow V_{CKM}$ has 4 parameters: 3 angles and 1 CP violation phase

- $\lambda = \sin \theta_c \approx 0.2$ \Rightarrow Cabibbo angle describe rotation (1-2 generation mixing)
- $\lambda^2 \sim \text{percent}$ \Rightarrow describe rotation (2-3 generation mixing)
- $\lambda^3 \sim \text{permille}$ \Rightarrow describe rotation (1-3 generation mixing)

$$V_{CKM} \approx \begin{pmatrix} 1 & \lambda & A\lambda^3(g - i\eta) \\ -\lambda & 1 & A\lambda^2 \\ A\lambda^3(g + i\eta) & -A\lambda^2 & 1 \end{pmatrix} \quad \text{with correction } A \approx \mathcal{O}(1)$$

\sim Further we go away, the smaller becomes

AMPLITUDE

Propagator

$$= \left(\frac{g^2}{\sqrt{2}} \right)^2 V_{cb} V_{cs}^* (\bar{c}_L \gamma_\mu b_L) \frac{g^{\mu\nu}}{q^2 - m_w^2} (\bar{s}_L \gamma_\nu c_L)$$

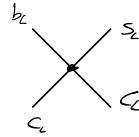
$q^2 \approx \mathcal{O}(m_b^2) \ll m_w^2 \approx \text{Neglect } q^2 \text{ in the propagator}$

$$\approx -\frac{g^2}{2m_w^2} V_{cb} V_{cs}^* (\bar{c}_L \gamma_\mu b_L) (\bar{s}_L \gamma^\mu c_L) + \mathcal{O}\left(\frac{g^2}{m_w^2}\right)$$

\textcircled{A}

So

$$Q_2 \approx (\bar{c}_\alpha \gamma_\mu b_\beta) (\bar{s}_\beta \gamma^\mu c_\alpha) \quad 4\text{-Fermion operator}$$



Drawing of operator

MATCHING THE SM to the EFFECTIVE THEORY.

\Rightarrow W has been removed from theory \Rightarrow Low energy effective theory "weak effective theory" WET

Read off the Wilson coefficient C_2

$$\mathcal{L}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} V_{cs}^* C_2 Q_2 \quad (B)$$

$$\frac{4G_F}{\sqrt{2}} = \frac{4g^2}{2m_w^2} \quad \text{no comparing}$$

$$\Rightarrow C_2 = 1 \quad \text{"Matching" by comparing (A) w/ (B)}$$

at $\mu = m_w$

WE NEED where we can do this calculation at m_w .

Scale for b-physics phenomenology $\mu = m_b = \mathcal{O}(4 \text{ GeV}) \Rightarrow$ HAVE TO EVOLVE THE Wilson coefficients.

\Rightarrow Take into account the running + mixing of operators

We could write also

$$Q_2 = (\bar{c}_\alpha \gamma_\mu b_\beta) (\bar{s}_\beta \gamma^\mu c_\alpha) \quad \alpha, \beta = \text{color indices SWAPPED}$$

$$C_2(\mu = m_w) = 1$$

$$C_2(\mu = m_w) = 0$$

Contribution to Q_2 are induced by QCD-corrections to Q_2



and Anomalous dimension matrix

$$\gamma = \frac{g^2}{16\pi^2} \gamma^{(0)}, \quad \gamma^{(0)} = 2 \begin{pmatrix} -1 & 3 \\ 3 & -1 \end{pmatrix} \quad (\text{see last lecture})$$

And including QCD corrections:

$$\mathcal{L}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{cb} V_{cs}^* (C_2 Q_2 + C_2 \underline{Q_2})$$

leading logarithmic order in α_s

$$\begin{aligned} C(\mu) &= C(m_w) \left(\frac{\alpha_s(\mu)}{\alpha_s(m_w)} \right)^{-\frac{\gamma^{(0)}}{2b_0}} = C(m_w) \left(\frac{1}{1 + \frac{b_0}{2\pi} \alpha_s(m_w) \log \left(\frac{\mu}{m_w} \right)} \right)^{-\frac{\gamma^{(0)}}{2b_0}} \\ &\quad \text{Plug formula of } \alpha_s \text{ running} \quad \text{expanding parenthesis} \\ &= C(m_w) \left(1 + \frac{\gamma^{(0)}}{2b_0} \cdot \frac{b_0}{2\pi} \alpha_s(m_w) \log \left(\frac{\mu}{m_w} \right) + \mathcal{O}(\alpha_s^2 \cdot \log^2) \right) \end{aligned}$$

can be large, not a good expansion parameter

$(\alpha_s \ll 1)$ BUT $\alpha_s \cdot \log$ can be large (X) and α_s is an unstable expansion

(X) : naive, fixed order expansion uncontrolled, poor control

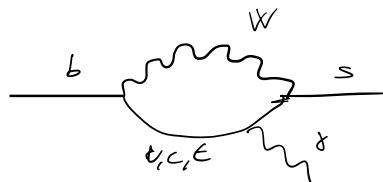
$(*)$: RG - improved perturbation theory, large logarithms are resummed.



Flavour Changing Neutral Currents

$$\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c & t \\ s & b \end{pmatrix} \quad \begin{array}{l} \text{- FCNC's} \\ \text{- charged current} \end{array}$$

In SM only through loops e.g. $b \rightarrow s$



The Flavour charges
but not the electric charge

$$A(b \rightarrow s\gamma) = \underbrace{V_{tb} V_{ts}^*}_{\cancel{\chi}} \mathcal{J}\left(\frac{m_t^2}{M_W^2}\right) + \underbrace{V_{cb} V_{cs}^*}_{\cancel{\chi^2}} \mathcal{J}\left(\frac{m_c^2}{M_W^2}\right) + \underbrace{V_{ub} V_{us}^*}_{\cancel{\chi^3}} \mathcal{J}\left(\frac{m_u^2}{M_W^2}\right)$$

tiny

V_{CKM} is UNITARY \Rightarrow The GIM mechanism

$$\sum_{i=t, c, b} V_{ib} V_{is}^* = 0 \quad \Leftrightarrow \quad V_{cb} V_{cs}^* = - (V_{tb} V_{ts}^* + V_{ub} V_{us}^*)$$

1) If $m_t = m_c = m_u$ then $A(b \rightarrow s\gamma) = 0$ (GIM)

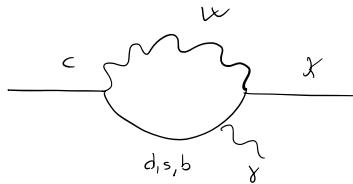
2) If $V_{CKM} = \mathbb{I}$ then $A(b \rightarrow s\gamma) = 0$

Writing the terms using the W renormalization

$$A(b \rightarrow s\gamma) = \underbrace{V_{tb} V_{ts}^*}_{\cancel{\chi}} \left(\mathcal{J}\left(\frac{m_t^2}{M_W^2}\right) - \mathcal{J}\left(\frac{m_c^2}{M_W^2}\right) \right) + \underbrace{V_{ub} V_{us}^*}_{\cancel{\chi^3}} \left(\mathcal{J}\left(\frac{m_u^2}{M_W^2}\right) - \mathcal{J}\left(\frac{m_c^2}{M_W^2}\right) \right)$$

Sub dominant
because the difference $m_u - m_c$ compared to m_t is small

For comparison



writing the same thing down

$$A(c \rightarrow \mu \bar{\nu}) = CKM \left[f\left(\frac{m_b^2}{m_w^2}\right) - f\left(\frac{m_c^2}{m_w^2}\right) \right] + CKM \left[f\left(\frac{m_d^2}{m_w^2}\right) - f\left(\frac{m_s^2}{m_w^2}\right) \right]$$

Here all the quarks are light so these processes are extremely suppressed and perfect to search for new physics.

What we are actually seeing now is described by 10 parameters : 6 masses of quarks
4 CKM matrix

$A(b \rightarrow d \bar{\nu})$ would be equal to $A(b \rightarrow s \bar{\nu})$ but substituting $s \rightarrow d$ so we would expect order 4%



Minimal Flavour Violation

All Flavours change structure in the SM (regarding quarks) is described in terms of 10 parameters : 6 quarks masses, 3 mixing angles and 1 phase.

These originate from the Yukawa matrix after electroweak symmetry breaking.

The one from SM is the minimal amount of CP violation we need to explain what we observe.

So expansions of the SM should also leave it. In the SM

$$\mathcal{L}_{\text{Yukawa}}^{\text{SM}} = -\bar{Q} Y_u H^c U - \bar{Q} Y_d H D$$

$\sim Y_u, Y_d$ are the **SOLO SOURCE** of Flavour violation in SM.

A Model is HFV if there are no further sources of Flavour violation
 $\Rightarrow Y_u, Y_d$ are also the sole sources of Flavour structure too.

We don't know why the masses are like that. And other stuff.

MFV: Minimal Flavour Violation, benchmark for SM-extensions

"No more flavour-violation arises only in Yukawa

$$\mathcal{L}_{\text{Yukawa}}^{\text{SM}} = -\bar{Q} H Y_u U - \bar{Q} H Y_d D + \text{h.c.}$$

In the hypothetical limit $Y_u = Y_d = 0$ the SM has ONE more symmetry (FLAVOUR SYMMETRY)

Symmetry G_9 : $SU(3)_{Q_L} \times SU(3)_{U_R} \times SU(3)_{D_R}$

Q_L : weak doublets $\sim LH$ quarks

U_R : weak singlets $\sim RH$ up-type quarks

D_R : weak singlets $\sim RH$ down-type quarks

Recap:

$$Q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, \begin{pmatrix} c_L \\ s_L \end{pmatrix}, \begin{pmatrix} t_L \\ b_L \end{pmatrix}$$

$$U_R = u_R, c_R, t_R$$

$$D_R = d_R, s_R, b_R$$

each set of quarks transform as a triplet under corresponding $SU(3)$

Example: Q_L, U_R, D_R

$$\begin{aligned} Q_L &\rightarrow V_Q Q_L \quad \text{where} \quad V_Q \in SU(3) \\ U_R &\rightarrow V_U U_R \quad \text{unitary } 3 \times 3 \text{ matrices} \\ D_R &\rightarrow V_D D_R \quad \text{They live in generation space} \end{aligned}$$

The thing is that with $SU(3)_{Q_L}$, the triplet is composed of single doublets q^1, q^2, q^3
 THE MATRICES ROTATE THE GENERATIONS \Rightarrow NO DIFFERENCE

\Rightarrow Quark Fields Transformations under G_9

$$\begin{pmatrix} \cdot & \cdot & \cdot \end{pmatrix} \\ \begin{smallmatrix} SU(3)_{Q_L} & SU(3)_U & SU(3)_D \end{smallmatrix}$$

$$Q(3, 1, 1)$$

$$U(1, 3, 1)$$

$$D(1, 1, 3)$$

Gauge Fields + Higgs are singlets under G_9

\Rightarrow Now we want to switch on Yukawa, so we write $\mathcal{L}_Y^{\text{SM}}$ in an invariant way under G_F

How:

Promote Yukawa couplings to "spurion" - fields

$$Y_u(3, \bar{3}, 1) \quad Y_d(3, 1, \bar{3})$$

Formally invariant terms can be written as

$$\mathcal{L}_{\text{Yuk}} = \bar{Q}_L H Y_u D_R + \underline{\bar{Q} H Y_d U}$$

- $\bar{Q} Y_u U$ invariant under G_F ? Check if it's invariant under single $SU(3)$ groups

$SU(3)_D$? Trivial because singlets here ✓
 $SU(3)_U$? $\bar{3} \times 3 \simeq 1$ it's singlet when combined ✓
 $SU(3)_Q$? $\bar{3} \times 3 \simeq 1$ it's singlet again

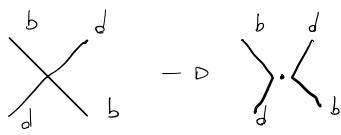
\mathcal{L} is invariant under G_F

~ Under the assumption

- $\bar{Q} Y_d D$ analogously

\mathcal{L}^{NP} is MFV if there are no further sources of flavour symmetry breaking then the Y_u, Y_d
 \rightarrow Strong constraint, many viable models, very predictive

EXAMPLE 1: $B - \bar{B}$ mixing



FCNC current:

$$\bar{Q}_i X_{ij} Q_j$$

$$\begin{array}{c} \bar{d} X_{13} b_L \\ \bar{s} X_{23} b_L \\ \bar{b} X_{23} b_L \end{array} \quad \begin{array}{l} \text{MATRIX w/} \\ \text{FLAVOUR STRUCTURES} \end{array}$$

in SM happens at loop level \Rightarrow suppressed

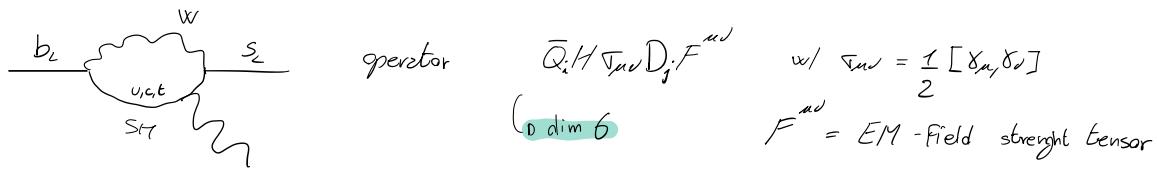
In this theory Yukawa controls the Flavour mixing.

X_{ij} structure is determined in MFV: $\bar{Q} X^{\text{MFV}} Q$ must be invariant under G_F and be conserved using this ansatz

$$X = a \mathbb{1} + b Y_u Y_u^\dagger + c Y_d Y_d^\dagger + \text{higher order of Yukawa couplings}$$

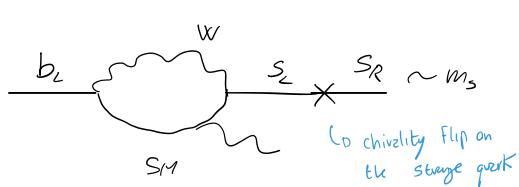
NO FCNC's NO FCNC's NO FCNC's

EXAMPLE 2 : $b \rightarrow s \gamma$



TO GET THE CONTRIBUTION WE NEED TO MIX Right Handend and Left Handend quarks.
 \Rightarrow In the operator appears D_j (for right quarks)

We pay the price of a mass term and we do chirality flip of s



STRUCTURE IN FLAVOUR SPACE.
 Fermion structure for dipole operator

$$\bar{Q}_i Z_{ij}^{MFV} D_j$$

connects LH and RH quarks

GO TO MASS EIGENSTATE BASIS. So it's diagonal

$$\begin{aligned} \underline{Y}_D &= \text{diag} \left(\frac{m_d}{v}, \frac{m_s}{v}, \frac{m_b}{v} \right) \quad (\text{We are studying FCNC among down type quarks}) \\ &= \begin{pmatrix} & & \\ & & \\ 0 & \cancel{\text{c}} & \cancel{\text{t}} \\ 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

$$\underline{Y}_U = V_{CKM}^+ \tilde{Y}_U \quad \text{w/ } \tilde{Y}_U = \text{diag} \left(\frac{m_u}{v}, \frac{m_c}{v}, \frac{m_b}{v} \right)$$

so the Higgs will get its VEV v

$$\underline{Z}_{ij}^{MFV} = \underbrace{\alpha Y_d}_{\text{NO FCNC}} + \underbrace{\beta Y_U Y_U^+ Y_D}_{\text{First NON TRIVIAL term}} + \dots$$

we know that it three level NO FCNC

Leading contribution in MFV-models to $b \rightarrow s \gamma$

The operator will be

$$\mathcal{N} (d_L)_i \underbrace{(Y_u Y_u^\dagger Y_0)}_{ik} \Gamma_{\mu\nu} (d_R)_j F^{\mu\nu}$$

$$\text{case A: } i=2, k=3 \quad "b_R \rightarrow s_L \gamma" \Rightarrow \mathcal{N} \cdot \underbrace{\frac{m_b}{m_s}}_{\frac{B}{A}} \bar{s}_L (Y_u Y_u^\dagger)_{23} \Gamma_{\mu\nu} b_R F^{\mu\nu} \quad \left. \begin{array}{l} \frac{B}{A} \text{ is } \frac{m_s}{m_b} \\ \Downarrow \\ \text{suppressed in SM} \end{array} \right\}$$

$$\text{case B: } i=3, k=2 \quad "b_L \rightarrow s_R \gamma" \Rightarrow \mathcal{N} \cdot \underbrace{\frac{m_s}{m_b}}_{\frac{A}{B}} \bar{b}_L (Y_u Y_u^\dagger)_{32} \Gamma_{\mu\nu} s_R F^{\mu\nu}$$

Generic in MFV

What does $(Y_u Y_u^\dagger)_{23}$ mean?

We can test experimentally this hierarchy

$$Y_u Y_u^\dagger = V^* \tilde{Y}_u \tilde{Y}_u^\dagger V \quad \text{using } V_{CKM} = V$$

In components

$$(Y_u Y_u^\dagger)_{ik} = (V^*)_{ie} (\tilde{Y}_u)_{ek} (V)_{ik}$$

↑ already diagonal

dominated by top

$$\simeq y_t^2 V_{3i}^* V_{3k} + \text{terms with } \underline{\text{lighter masses}}$$

$$\rightsquigarrow Y_u Y_u^\dagger = y_t^2 \left(\begin{array}{c|cc} 1^6 & 1^5 & V_{td}^* V_{tb} \\ \hline \text{h.c.} & 1^4 & V_{ts}^* V_{tb} \\ \hline \text{h.c.} & \text{h.c.} & 1 \end{array} \right) \left[V \sim \begin{pmatrix} 1 & 1 & 1 \\ -1 & 1 & 1^2 \\ 1^3 & 1^2 & 1 \end{pmatrix} \right]$$

$$\text{We can now compare } b \rightarrow s \gamma \quad \text{vs} \quad \frac{V_{ts}^* V_{tb}}{V_{tb}^2 V_{tb}} \sim \frac{1}{\lambda} = \frac{V_{ts}^*}{V_{td}^*}$$

This statement could be understood for us

we can have us but the Flavour sector behaves like in the SM: it's a parametrization of Flavour doesn't explain Flavour.



Froggat - Nelsen - Symmetries

Model of Flavour: extra $U_{FN}(1)$ -symmetry

We start again from Yukawa's $\bar{Q}_i H^c Y_{ij} U_j + \bar{Q}_i H Y_{dij} D_j$
 assign Q, U, D generation dependent charges under $U_{FN}(1)$
 $(H_{\text{iggs}} \text{ unchanged under } U_{FN}(1))$

This is what we want to break in order to create Flavour.
 We need an extra ingredient to get this invariant under $U(1)$:

\Rightarrow Add scalar Field "Flavour" S with charge -1 under $U(1)_{FN}$, UNCHARGED under SM gauge interact.

Field ψ	CHARGES	$Q(\psi)$
S		-1
H		0
\bar{Q}_1		4
\bar{Q}_2		2
\bar{Q}_3		0
U_1		4
U_2		2
U_3		0
plus $D_1 D_2 D_3$		

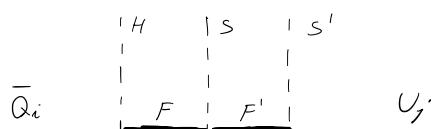
The following terms are $U_{FN}(1)$ -invariant

$$\bar{Q}_3 H^c U_3 + \bar{Q}_3 H^c S^2 U_2 + \dots \bar{Q}_i H^c S^{q(\bar{Q}_i) + q(U_i)} U_j$$

Now we break $U(1)_{FN}$ spontaneously \rightarrow How? S Field gets a non trivial v.e.v. $\langle S \rangle \neq 0$

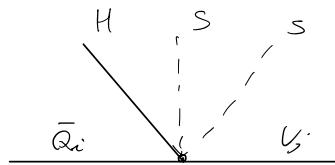
\rightarrow Let's think about how could this work as a real model

The Fully UV-theory needs also more heavy Fermions



F and F' are heavy,
 S has a mass M
 $M \gg \langle H \rangle$

At low energy



When $\frac{\langle S \rangle^2}{M^2}$ gets a VEV we can replace (at low energy) the S field with
 $\frac{\langle S \rangle}{M}$ (only relevant for phenomenology)

This model can be fitted to data and we can find the values for the Yukawa couplings. We replace S in the lagrangian with $\frac{\langle S \rangle}{M} = \epsilon$

where ϵ is of the order of coupling's parameters.

$$Y_{c,d} \sim \left(\frac{\langle S \rangle}{M}\right)^{g(\bar{Q}_i) + g(\bar{u}_j)}$$

So U_{FN} model predicts the hierarchy in the Yukawa up to factors of order 1

So the required ingredients at low energies are $g(Y_i)$, ϵ with $\epsilon \approx 0.2$

$U(1)_{FN}$ (This is a postulation) + generation-dependent charges q_i to the quarks + 1 scalar field S that spontaneously breaks the symmetry $\overline{m}_{ss} M$

If we write the Yukawa-Lagrangian as an effective theory

$$(Y_{u,d})_{ij} \sim \left(\frac{\langle S \rangle}{M} \right)^{q(\bar{q}_i) + q(u_j)}$$

For low energy phenomena, we only focus on $\varepsilon = \frac{\langle S \rangle}{M}$; $\varepsilon \sim 0.2$ (of the order of the Wolfenstein parameter $\lambda \approx \sin \theta_c$)

We can only predict hierarchies, in terms of ε , not values (because we are not building the full model). So FN-symmetry predicts the hierarchy in terms of ε .

This is very successful.

From last lecture, we change

$q(\bar{Q}) = (4, 2, 0) = q(U)$, $X_{ij} = q(\bar{q}_i) + q(u_j)$ our experiment, we can construct now Y_u matrix

$$Y_u \sim \begin{pmatrix} \varepsilon^8 & \varepsilon^6 & \varepsilon^4 \\ \varepsilon^6 & \varepsilon^4 & \varepsilon^2 \\ \varepsilon^4 & \varepsilon^2 & \varepsilon^0 = 1 \end{pmatrix} = \{ \varepsilon^x \}$$

↳ prediction from $U(1)_{FN}$ symmetry

EXAMPLE lower dimension: 2 Families and 2+3 generations

$$Y_u \sim \begin{pmatrix} \varepsilon^4 & \varepsilon^2 \\ \varepsilon^2 & 1 \end{pmatrix} \quad Y_d \sim \begin{pmatrix} \varepsilon^3 & \varepsilon^3 \\ \varepsilon & \varepsilon \end{pmatrix}$$

we have to diagonalize these matrices by using perturbation theory, as customary in QM. This perturbative diagonalization gives eigenvectors and eigenvalues in powers of ε .

The eigenvalues are

$$\frac{m_c}{m_t} \approx \varepsilon^4 \quad \frac{m_s}{m_b} \approx \varepsilon^2 \quad \underbrace{\theta_u \approx \varepsilon^2}_{\text{}} \quad \underbrace{\theta_d \approx \varepsilon^2}_{\text{}} \quad |V_{cb}| \sim \varepsilon^2$$

There can be Flavour structure in general, but with this symmetry we can use the following example to calculate the SM Flavour structure.

A) SUPER SYMMETRY: Minimal Supersymmetry Standard Model (MSSM)

QUDLE (SM) \rightarrow scalar partners $\tilde{Q}, \tilde{U}, \tilde{D}, \tilde{L}, \tilde{E}$

we have 3 generations of quarks $\tilde{Q} = (\tilde{Q}_1, \tilde{Q}_2, \tilde{Q}_3)$

But we are interested in mass term for our new scalars.

$$\mathcal{L}_{\text{Mass}} \supset \tilde{Q}_i^+ (m_a^2)_{ij} \tilde{Q}_j + \dots \text{mass term of scalars}$$

↳ mass matrix (hermitian). 3×3 and complex

We want to use the Foggott-Neveu technique to infer the structure of this BSM matrix

\rightarrow Predict m_a^2 using $U(1)_{FN}$ - symmetry

$$m_a^2 \sim \bar{m}^2 \begin{pmatrix} 1 & \varepsilon^2 & \varepsilon^4 \\ h.c & 1 & \varepsilon^2 \\ h.c & h.c & 1 \end{pmatrix} \sim \bar{m}^2 \varepsilon^{\frac{q(\bar{Q}_i) + q(Q_j)}{-q(\bar{Q}_i)}}$$

we want to construct terms that are allowed so everything is set by ε .

B) SHEFT

Operations with Fermions carry indices

$$\bar{Q}_i \gamma_\mu Q_j \psi^+ \not{D}^\mu \psi$$

↳ containing the quarks (\bar{Q}_i, Q_j) , the higgs ψ and a covariant derivative

its Wilson coefficients C_{ij} : 3×3 hermitian matrix of W. Coefficients
(Assuming $U(1)_{FN}$ it's possible to structure out the W. coefficients)

Assuming $U(1)_{FN}$

$$C_{ij} \sim \bar{C} \begin{pmatrix} 1 & \varepsilon^2 & \varepsilon^4 \\ h.c & 1 & \varepsilon^2 \\ h.c & h.c & 1 \end{pmatrix}$$

It's the same structure as in MSSM because the \mathcal{L} term is linear in Q and \bar{Q}

(How to get structure with no hierarchical things \rightarrow neutrinos)

CKM, quark masses: hierarchical, explain using FN -symmetries.

Neutrino sector: PMNS 3×3 matrix, unitary, 3 angles, 1 CP violating phase [$+2\pi$ if they are Dirac neutrinos the same "machine" of quark sector we have] Phase

PDG: $\begin{cases} C_{12} \approx 0.59 \\ C_{23} \approx 0.84 \end{cases}$ (larger than 1-2 gen mixing angle of quarks: θ_c)
 $C_{13} \approx 0.2$ (order of θ_c)

3 neutrino mass eigenstates m_1, m_2, m_3

Normal Hierarchy (NH): $m_1 < m_2 < m_3$

(which we measure via the oscillations so connected to the mass differences)

$$\Delta m_{32}^2 = \pm 2.5 \cdot 10^{-3} \text{ eV}^2 \quad \Delta m_{12}^2 = 7.5 \cdot 10^{-5} \text{ eV}^2$$

Inverted Hierarchy (IH): $m_3 < m_1 < m_2$

$$\begin{array}{ccc} \text{NH} & \underline{\hspace{1.5cm}}_3 & \text{IH} & \underline{\hspace{1.5cm}}_1^2 \\ & \underline{\hspace{1.5cm}}_2 & & \underline{\hspace{1.5cm}}_3 \end{array}$$

This is all what we know of neutrinos

How to get the PMNS from symmetries when is not hierarchical?

Before to start, situation about PMNS: A) $\theta_{13} \ll \theta_{12} \sim \theta_{23}$
B) $\theta_{13}, \theta_{12}, \theta_{23} \sim \Theta(1)$

both means are viable but involve different flavour model building

- A) \rightarrow today, discrete symm
- B) "normally"

We'll follow the case A)

PMNS: U "tri-bimaximal" (MAXIMAL MIXING AMONG ALL THREE FLAVOUR STATE)

$$U = \begin{pmatrix} \frac{\sqrt{2}}{3} & \frac{\sqrt{1}}{3} & 0 \\ \frac{\sqrt{1}}{6} & \frac{\sqrt{1}}{3} & \frac{\sqrt{1}}{2} \\ -\frac{\sqrt{1}}{6} & \frac{\sqrt{1}}{3} & \frac{\sqrt{1}}{2} \end{pmatrix}$$

historical (very predictive)
Ansatz predicting 0 to have
not the full picture:
need correction to obtain a viable θ_{13}

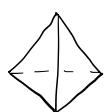
→ Non hierarchical structures follow from non-abelian, discrete Finite number of elements

Examples:

S_n : group of permutations of n objects

$$n=3 \quad \begin{matrix} eae \\ eea \end{matrix} \quad \begin{matrix} ace \\ eca \end{matrix} \quad \begin{matrix} aec \\ eac \end{matrix} \quad \left. \begin{matrix} aec \\ eca \end{matrix} \right\} 6 \text{ elements (can be mapped into each other)}$$

A_4 : tetrahedron, 12 elements



4 corners
4 surface
→ has a high symmetry under rotation by 120° and reflection (180°)

Representation:
singlets (1 dimensional): l, l', l''
triplets (3 dimensional): 3 symmetric
3' (antisymmetric)

multiplication rules (to construct mass)

$$l - l = l$$

$$l - l' = l'$$

$$l - l'' = l''$$

$$l' - l'' = l$$

Triplets: $a = (a_1, a_2, a_3)$ $b = (b_1, b_2, b_3)$

$$l = (a, b) = a_1 b_1 + a_2 b_2 + a_3 b_3 \quad (\text{transforms as a singlet})$$

$$l' = (ab)' = a_3 b_3 + a_1 b_1 + a_2 b_2$$

$$l'' = (ab)'' = a_1 b_1 + a_2 b_2 + a_3 b_3$$

understanding these structures, how they do transform, we can construct invariants?

A_ℓ	L	e_R	μ_R	τ_R	ℓ_S	ℓ_T	ℓ
	3	ℓ	ℓ''	ℓ''	3	3	1

spurions, responsible for the breaking
of the flavour symm

$$\mathcal{L}_e = \frac{Y_e}{\Lambda} \bar{e}_R \frac{M}{\Lambda} (\ell_T \cdot L) + Y_\mu \bar{\mu}_R \frac{M}{\Lambda} (\ell_T \cdot L) + Y_\tau \bar{\tau}_R \frac{M}{\Lambda} (\ell_T \cdot L) + \text{h.c}$$

where Λ is the scale of A_ℓ breaking: $\langle \ell_T \rangle = (V_T, 0, 0)$

$\Rightarrow \mathcal{L}_e$ should transform as a L under A_ℓ

In order for the first term to transform as L the product of triplets $\ell_T \cdot L$ must transform as a one.

We can do the same reasoning for all the other terms using the multiplication rules.

We obtain

$$\langle \ell_T \cdot L \rangle = \langle \ell_{T1} L_1 + \ell_{T2} L_2 + \ell_{T3} L_3 \rangle = V_T L_e = V_T \cdot L_e$$

$$\langle \ell_T \cdot L \rangle' = V_T \cdot L_\mu$$

$$\langle \ell_T \cdot L \rangle'' = V_T \cdot L_\tau$$

Finally

$$Y_e = \frac{V_e}{\Lambda} \begin{pmatrix} Y_e & 0 & 0 \\ 0 & Y_\mu & 0 \\ 0 & 0 & Y_\tau \end{pmatrix} \rightarrow \text{DIAGONAL } \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

So in this model, PMNS-rotation from neutrino sectors

Recall the neutrino masses come from the see-saw mechanism

$$h_\nu = k_a \frac{L L H H}{\Lambda^e} + k_b (\ell_S L L) \frac{H^\dagger H}{\Lambda^2} + \text{h.c.}$$

If we work this out we would get the matrix U , the Cabibbo-Kobayashi-Maskawa matrix.

The procedure would be to compute $L = 3$ and then $\langle \psi_s \rangle$ where $\langle \psi_s \rangle = (v_s, v_s, v_s)$, $\langle \beta \rangle = U$

As intermediate step is

$$H_v = H_v^{-1} = \frac{\langle U \rangle^2}{\Lambda} \begin{pmatrix} 2\lambda + \frac{2}{3}b & -\frac{1}{3}b & -\frac{1}{3}b \\ -\frac{1}{3}b & -\frac{2}{3}b & -\frac{1}{3}b \\ -\frac{1}{3}b & -\frac{1}{3}b & \frac{2}{3}b \end{pmatrix} \quad \begin{aligned} \lambda &= 2x_2 \frac{4}{\Lambda} \\ b &= 2x_b \frac{v_s}{\Lambda} \end{aligned}$$

Diagonalizing

$$U^* H_v U = \frac{\langle M \rangle}{\Lambda} \text{diag}(\lambda + b, \lambda, b - \lambda)$$

where $U = \text{Eigenvectors}$. It is close to ready 2/ though it needs corrections.